

Appendices

State of Wisconsin 2006



HS217
(Rev. 7/93)

"217PLAN"

Highway Safety Program Cost Summary

State: WISCONSIN
FFY: 2006

Number: PLAN Estimate
Highway Safety Plan

Date: October, 2005

Program Area	Apprvd Program Costs	Basis for % Change	State/Local Funds	Federally Funded Programs				Federal Share to Local
				Previous Bal	Incre/(Decre)	% Chng	Current Bal	
PA	675,000.00	335,000.00	340,000.00	0.00	335,000.00	0%	335,000.00	83,750.00
OP	1,267,000.00	880,000.00	387,000.00	0.00	900,000.00	2%	900,000.00	466,250.00
PM	302,000.00	250,000.00	52,000.00	0.00	400,000.00	60%	400,000.00	200,000.00
AL	1,665,000.00	775,000.00	890,000.00	0.00	1,290,000.00	66%	1,290,000.00	798,750.00
PT	1,138,000.00	725,000.00	413,000.00	0.00	1,075,000.00	48%	1,075,000.00	748,750.00
TR	939,000.00	600,000.00	339,000.00	0.00	620,000.00	3%	620,000.00	1,245,000.00
EM	266,000.00	200,000.00	66,000.00	0.00	200,000.00	0%	200,000.00	122,500.00
MC	1,191,000.00	1,026,000.00	165,000.00	0.00	345,000.00	-66%	345,000.00	158,500.00
PS	474,000.00	260,000.00	214,000.00	0.00	260,000.00	0%	260,000.00	180,000.00
CP	1,668,000.00	1,063,000.00	605,000.00	0.00	1,063,000.00	0%	1,063,000.00	704,000.00
Total 402	9,585,000.00	6,114,000.00	3,471,000.00	0.00	6,488,000.00	1.14	6,488,000.00	4,707,500.00
157-OP	1,006,000.00	863,000.00	143,000.00	0.00	858,000.00	0%	858,000.00	329,000.00
157-IN4	87,571.00	55,571.00	32,000.00	0.00	20,000.00	0%	20,000.00	20,000.00
2003(b)-J3	209,000.00	150,000.00	59,000.00	0.00	120,000.00	0%	120,000.00	115,000.00
410-J8	2,835,400.00	1,540,800.00	1,294,600.00	0.00	1,125,000.00	0%	1,125,000.00	733,950.00
ALL FUNDS	13,722,971.00	8,723,371.00	4,999,600.00	0.00	8,611,000.00	1.14	8,611,000.00	5,905,450.00

State Officials Authorized Signature:

Federal Official(s) Authorized Signature:

NAME_____

NHTSA - NAME_____

FHWA - NAME_____

TITLE_____

TITLE_____

TITLE_____

DATE_____

DATE_____

DATE_____

Effective Date_____

BUDGET -- ALL FUNDS

14-Nov-05

FIRST REVISION

U:/2006 Hsp/Detailed Budget All Funds

FFY 2006 HIGHWAY SAFETY PERFORMANCE PLAN BUDGET- First Amendment						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
PLANNING & ADMINISTRATION 01						
06-01-01	Planning & Administrat	335,000	2,000	2,000	339,000	83,750
402	(PA)	335,000	2,000	2,000	339,000	83,750
State 461	402 Match	0	336,000	0	336,000	0
State	(461)	0	336,000	0	336,000	0
TOTAL PLAN & ADMIN FUNDS		335,000	338,000	2,000	675,000	83,750

OCCUPANT PROTECTION 02						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-02-01	Program Mgmt	5,000	22,000	2,000	29,000	1,250
06-02-02	PI&E	150,000	40,000	120,000	310,000	75,000
06-02-03	Buckle Up Mobilization	320,000	5,000	100,000	425,000	240,000
06-02-03	LE Liaisons	175,000	3,000	35,000	213,000	87,500
06-02-04	Observ Survey	175,000	5,000	5,000	185,000	43,750
	Survey Analysis	25,000	5,000	10,000	40,000	6,250
	KAB Survey	50,000	5,000	30,000	85,000	12,500
402	(OP)	900,000	85,000	302,000	1,287,000	466,250
06-02-05	Paid Media (402PM)	250,000	2,000	20,000	272,000	125,000
06-02-06	Convincer support	28,000	1,000	5,000	240,000	14,000
	Diverse Communities	150,000	2,000	50,000	202,000	150,000
	(157OP)	178,000	3,000	55,000	442,000	164,000
06-02-07	Paid Media (157PM)	280,000	5,000	50,000	644,000	140,000
157 Incentive	(157)	458,000	8,000	105,000	1,086,000	304,000
06-02-08	CPS Outreach & Education	110,000	2,000	10,000	122,000	110,000
	CPS Training	5,000	5,000	30,000	40,000	2,500
	CPS Fitting Stations	5,000	2,000	10,000	17,000	2,500
2003b	(J3)	120,000	9,000	50,000	179,000	115,000
06-02-09-IN2	Buckle Up Mobilization	0	0	0	0	0
06-02-09-IN4	Buckle Up Mobilization	20,000	2,000	30,000	52,000	20,000
06-02-10-IN5	Buckle Up Mobilization	0	0	0	0	0
157 Innov	(IN)	20,000	2,000	30,000	52,000	20,000
06-43-02	GDL Demonstration	160,000	10,000	30,000	200,000	80,000
Demo	(DX)	160,000	10,000	30,000	252,000	80,000
TOTAL OCC PROTECT FUNDS		1,748,000	99,000	427,000	2,180,000	870,250

BUDGET -- ALL FUNDS

IMPAIRED DRIVING - ALCOHOL and OTHER DRUGS (03)						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-03-01	Program Mgmt	185,000	30,000	10,000	225,000	46,250
06-03-02	Mobilization/Sat Patrol	300,000	5,000	150,000	455,000	225,000
06-03-03	Alcohol Community	285,000	5,000	85,000	375,000	285,000
06-03-04	Evaluations & Surveys	70,000	5,000	0	75,000	17,500
06-03-05	PI&E	250,000	5,000	100,000	355,000	125,000
06-03-06	Enforcement Training	100,000	5,000	400,000	505,000	50,000
06-03-07	DRE, DITEP, Support	100,000	5,000	85,000	190,000	50,000
402	(AL)	1,290,000	60,000	830,000	2,180,000	798,750
06-41-01	Alcohol PI&E	100,000	2,000	10,000	112,000	50,000
06-41-02	SFST Training	148,800	5,000	889,600	1,043,400	37,200
06-41-03	Drug Program Support	47,000	2,000	5,000	54,000	11,750
	DRE, DITEP Training	95,000	5,000	85,000	185,000	47,500
06-41-04	UW Law CID	190,000	10,000	67,000	267,000	95,000
06-41-05	Community & ISP	210,000	5,000	2,000	217,000	210,000
	OWI Drug Courts	175,000	12,000	12,000	199,000	175,000
410	(J8)	965,800	41,000	1,070,600	2,077,400	626,450
06-41-08	Paid Media - 410	0	0	0	0	0
410	(J8 PM)	0	0	0	0	0
06-02-07	Pd Media -157	400,000	5,000	25,000	430,000	25,000
157 PM	(157PM)	400,000	5,000	25,000	430,000	25,000
06-78-01	PI&E	150,000	2,000	12,500	164,500	75,000
06-78-02	Paid Media - 163	150,000	5,000	5,000	160,000	75,000
06-78-03	Alc Mobilization	375,000	75,000	150,000	600,000	275,000
	Enforcement Equipment	450,000	1,000	120,000	571,000	337,500
	Roadside Evid Tests	75,000	5,000	2,000	82,000	18,750
06-78-04	ISPs * AOD Courts	350,000	2,000	100,000	452,000	262,500
163 Incent	(HN10)	1,550,000	90,000	389,500	2,029,500	1,043,750
State 568	Pre-trial Intervention	0	779,400	12,470	791,870	779,400
State 531	Safe Ride Programs	0	179,097	10,000	189,097	179,097
State	(562, 531 and 568)	0	958,497	22,470	980,967	958,497
TOTAL IMPAIRED DRIVING FUNDS		3,240,000	1,113,497	1,266,970	5,620,467	2,825,997

YOUTHFUL DRIVERS - IMPAIRED DRIVING (3Y)						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-41-01	Youth PI&E	50,000	10,000	20,000	80,000	25,000
06-41-06	Social Norms	50,000	5,000	18,000	73,000	50,000
	Community Programs	50,000	5,000	35,000	90,000	30,000
06-41-07	Evaluation	10,000	55,000	35,000	100,000	2,500
410	(J8)	160,000	75,000	108,000	343,000	107,500
06-44-01	EUDL Projects	335,000	2,000	200,000	537,000	83,750
06-44-02	Evaluation	15,000	2,000	25,000	42,000	15,000
USDOJ	(44)	350,000	4,000	225,000	579,000	98,750
TOTAL YOUTH FUNDS		510,000	79,000	333,000	922,000	206,250

BUDGET -- ALL FUNDS

AGGRESSION, SPEED & TRAFFIC ENFORCEMENT (PTS) 04						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-04-01	Program Mgmt	5,000	11,000	2,000	18,000	1,250
06-04-02	PI&E	75,000	20,000	50,000	145,000	37,500
06-04-03	TLE Training/Encourage	65,000	18,000	50,000	133,000	32,500
06-04-04	Speedwaves	550,000	60,000	75,000	685,000	412,500
06-04-05	Evaluation of Pd Media	80,000	7,000	5,000	92,000	40,000
06-04-06	Aggressive Driving Demo	300,000	10,000	75,000	385,000	150,000
402 Subtotal	PT	1,075,000	126,000	257,000	1,458,000	673,750
06-04-07-PM	Aggression Pd Media	150,000	5,000	25,000	180,000	75,000
402	(PT)	1,225,000	131,000	282,000	1,638,000	748,750
06-78-05	Speed Enforcement	200,000	25,000	50,000	275,000	150,000
163	(HN10)	200,000	25,000	50,000	275,000	150,000
TOTAL SPEED ENFORCEMENT FUNDS		1,425,000	156,000	332,000	1,913,000	898,750
HIGHWAY SAFETY INFORMATION (Traffic Records) 05						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-05-01	TRCC, SCP	25,000	5,000	5,000	35,000	6,250
06-05-02	Analyst	75,000	5,000	1,000	81,000	18,750
06-05-03	TraCS Implementation 1	200,000	75,000	200,000	475,000	50,000
06-05-04	Data Linkage	80,000	12,000	1,000	93,000	20,000
06-05-05	Analysis, Publications	80,000	7,000	5,000	92,000	20,000
	MC Observ Survey	150,000	5,000	5,000	160,000	37,500
06-05-06	TR, Data Collect Trning	10,000	3,000	10,000	23,000	50,000
402	(TR)	620,000	112,000	227,000	959,000	202,500
06-78-06	Automated activity/eval	100,000	5,000	2,000	107,000	25,000
	PDA &Tablet surveys/Equip	200,000	5,000	5,000	210,000	100,000
06-78-07	TraCS Implementation 1	700,000	137,570	1,000,000	1,837,570	175,000
163	(HN10)	1,000,000	361,570	1,455,000	3,956,570	250,000
06-05-07	HSIS Improvements	300,000	5,000	5,000	310,000	75,000
408	(K9)	300,000	5,000	5,000	310,000	75,000
04-43-01	CODES Demonstration	66,000	15,000	100,000	181,000	16,500
403	(DX)	66,000	15,000	100,000	181,000	16,500
State	Policy Analysis	0	100,000	0	100,000	25,000
State	(461)	0	100,000	0	100,000	25,000
TOTAL RECORDS FUNDS		1,986,000	593,570	1,787,000	5,506,570	569,000
INJURY CONTROL - EMERGENCY RESPONSE 06						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-06-01	EMS Program Management	5,000	4,000	7,000	16,000	2,500
06-06-02	EMS, Trauma Syst PI&E	130,000	7,000	7,000	144,000	65,000
	ALERT Training	10,000	1,000	5,000	16,000	5,000
06-06-03	First Responder Pjts	45,000	2,000	20,000	67,000	45,000
06-06-04	Rural, Bystander Pjts	10,000	3,000	10,000	23,000	5,000
402	(EM)	200,000	17,000	49,000	266,000	122,500
MOTORCYCLE SAFETY 07						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-07-01	Prog Mgmt	45,000	10,000	20,000	75,000	11,250
06-07-02	PI&E	55,000	2,000	3,000	60,000	27,500
	MC Events	75,000	5,000	0	80,000	37,500
	Social Marketing	50,000	2,000	50,000	102,000	25,000
06-07-03	Instructor Training	10,000	3,000	15,000	28,000	5,000
06-07-04	Tri-County Coalition	25,000	7,500	10,000	42,500	25,000
	Peer-to-Peer/ Mentors	25,000	10,000	7,500	42,500	12,500
06-07-05	Evaluations & Studies	60,000	5,000	15,000	80,000	15,000
402	(MC)	345,000	44,500	120,500	510,000	158,750
06-78-06	MC OWI Outreach	25,000	2,000	5,000	32,000	12,500
163	(HN10)	25,000	2,000	5,000	32,000	12,500
06-07-06	MC Evaluation	100,000	0	10,000	110,000	25,000
s.2010	(K6)	100,000	0	10,000	110,000	25,000
State 461	MC Rider Education	0	654,000	200,000	854,000	654,000
State	(461)	0	654,000	200,000	854,000	654,000
TOTAL MOTORCYCLE FUNDS		470,000	700,500	335,500	1,506,000	850,250

BUDGET -- ALL FUNDS

PEDESTRIAN, BICYCLE & SCHOOL BUS SAFETY 09						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-09-01	Program Management	5,000	2,000	10,000	17,000	1,250
06-09-02	PI&E	100,000	4,000	50,000	154,000	50,000
06-09-03	Training & Tech. Asst.	25,000	2,000	14,000	41,000	12,500
06-09-04	Community Programs	55,000	2,000	50,000	107,000	41,250
	Enforcement	50,000	0	60,000	110,000	50,000
06-09-05	Evaluation	25,000	0	30,000	55,000	25,000
402	(PS)	260,000	10,000	214,000	484,000	180,000
06-78-09	PI&E	25,000	0	6,000	31,000	12,500
163	(HN10)	25,000	0	6,000	31,000	12,500
State 461	Program Management	0	60,000	0	60,000	0
State 461	PI&E & Bike Laws	0	42,500	0	42,500	0
State	(461)	0	102,500	0	102,500	0
TOTAL	PED/BIKE FUNDS	285,000	112,500	220,000	617,500	192,500

COMMUNITY TRAFFIC SAFETY 10 Community Activity						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-10-01	Management/ Outreach	375,000	75,000	0	450,000	187,500
06-10-02	PI&E Management	60,000	2,000	10,000	72,000	15,000
	Community PI&E	150,000	10,000	75,000	235,000	75,000
	TSR-Publication	50,000	10,000	10,000	70,000	25,000
06-10-03	Community Programs	375,000	5,000	200,000	580,000	281,250
06-10-04	Governor's Conference	40,000	8,000	20,000	68,000	20,000
	Volunteer Outreach	13,000	0	5,000	18,000	6,500
402	(CP)	1,063,000	110,000	320,000	1,493,000	610,250

LARGE TRUCK SAFETY 12						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
MCSAP	MCSAP Plan	4,550,000	0	0	4,550,000	
TOTAL	LARGE TRUCKS	4,550,000	0	0	4,550,000	0

SUMMARY FUND DATA						
FUND	AVAILABLE	PLANNED	MATCH	MATCH	TOTAL	TO LOCAL
402	6,133,423	6,488,000	573,500	2,366,500	9,428,000	3,496,500
410	962,038	1,125,800	116,000	1,178,600	2,420,400	733,950
157OP	757,901	858,000	13,000	130,000	1,516,000	329,000
163	2,814,450	2,800,000	478,570	1,905,500	6,324,070	1,468,750

estimated funds available for 2006 HSP					
fund	2006 award	carryover	total	20% over	Differ from HSP
163 QN10	0	2,814,450	2,814,450	3,377,340	577,340
2003(b)	0	120,000	120,000	144,000	0
405	0	0	0	0	0
157OP	0	757,901	757,901	909,481	51,481
IN4	0	20,000	20,000	24,000	24,000
410	0	962,038	962,038	1,154,446	28,646
s. 2010	100,000	0	100,000	120,000	0
408	300,000	0	300,000	360,000	0
402	4,379,248	1,848,423	6,227,671	7,473,205	985,205
Total	4,779,248	6,522,812	11,302,060	13,562,472	

BUDGET -- ALL FUNDS

PI&E PLAN						
Material & Activity Development and Distribution						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-02-02	OP PI&E	150,000	40,000	120,000	310,000	75,000
06-03-05	OWI PI&E (402)	250,000	5,000	100,000	355,000	125,000
06-41-01	OWI PI&E (410)	100,000	2,000	10,000	112,000	50,000
06-78-01	OWI PI&E (163)	150,000	2,000	12,500	164,500	75,000
06-41-01	Youth PI&E (410)	50,000	10,000	20,000	80,000	25,000
06-04-02	Speed PI&E	75,000	20,000	50,000	145,000	37,500
06-06-02	EMS, Trauma Syst PI&E	130,000	7,000	7,000	144,000	65,000
06-07-02	MC PI&E	55,000	2,000	3,000	60,000	27,500
06-09-02	Ped/Bike PI&E (402)	100,000	4,000	50,000	154,000	50,000
06-78-09	Ped/Bike PI&E (163)	25,000	0	6,000	31,000	12,500
06-10-02	PI&E Management	60,000	2,000	10,000	72,000	15,000
06-10-02	Community PI&E	150,000	10,000	75,000	235,000	75,000
06-10-02	TSR-Publication	50,000	10,000	10,000	70,000	25,000
Totals		1,345,000	114,000	473,500	1,932,500	657,500

Paid Media						
Activity	Title	Fed	State	Local	Tot Prog	Loc Benefit
06-02-05	OP Paid Media (402)	250,000	2,000	20,000	272,000	125,000
06-02-07	OP Paid Media (157)	250,000	2,000	20,000	272,000	125,000
06-02-07	OWI Paid Media -157	400,000	5,000	25,000	430,000	25,000
06-41-08	OWI Paid Media (410)	0	0	0	0	0
06-78-02	OWI Paid Media (163)	150,000	5,000	5,000	160,000	75,000
06-04-07	Aggression Pd Media	150,000	5,000	25,000	180,000	75,000
Totals		1,200,000	19,000	95,000	1,314,000	425,000

STATE CERTIFICATIONS AND ASSURANCES

Failure to comply with applicable Federal statutes, regulations and directives may subject State officials to civil or criminal penalties and/or place the State in a high risk grantee status in accordance with 49 CFR §18.12.

Each fiscal year the State will sign these Certifications and Assurances that the State complies with all applicable Federal statutes, regulations, and directives in effect with respect to the periods for which it receives grant funding. Applicable provisions include, but not limited to, the following:

- 23 U.S.C. Chapter 4 - Highway Safety Act of 1966, as amended;
- 49 CFR Part 18 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments
- 49 CFR Part 19 - Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations
- 23 CFR Chapter II - (§§1200, 1205, 1206, 1250, 1251, & 1252) Regulations governing highway safety programs
- NHTSA Order 462-6C - Matching Rates for State and Community Highway Safety Programs
- Highway Safety Grant Funding Policy for Field-Administered Grants

Certifications and Assurances

The Governor is responsible for the administration of the State highway safety program through a State highway safety agency which has adequate powers and is

suitably equipped and organized (as evidenced by appropriate oversight procedures governing such areas as procurement, financial administration, and the use, management, and disposition of equipment) to carry out the program (23 USC 402(b) (1) (A));

The political subdivisions of this State are authorized, as part of the State highway safety program, to carry out within their jurisdictions local highway safety programs which have been approved by the Governor and are in accordance with the uniform guidelines promulgated by the Secretary of Transportation (23 USC 402(b) (1) (B));

At least 40 per cent of all Federal funds apportioned to this State under 23 USC 402 for this fiscal year will be expended by or for the benefit of the political subdivision of the State in carrying out local highway safety programs (23 USC 402(b) (1) (C)), unless this requirement is waived in writing;

The State will implement activities in support of national highway safety goals to reduce motor vehicle related fatalities that also reflect the primary data-related crash factors within the State as identified by the State highway safety planning process, including:

- **National law enforcement mobilizations,**
- **Sustained enforcement of statutes addressing impaired driving, occupant protection, and driving in excess of posted speed limits,**
- **An annual statewide safety belt use survey in accordance with criteria established by the Secretary for the measurement of State safety belt use rates to ensure that the measurements are accurate and representative,**
- **Development of statewide data systems to provide timely and effective data analysis to support allocation of highway safety resources.**

The State shall actively encourage all relevant law enforcement agencies in the State to follow the guidelines established for vehicular pursuits issued by the International Association of Chiefs of Police that are currently in effect.

This State's highway safety program provides adequate and reasonable access for the safe and convenient movement of physically handicapped persons, including those in wheelchairs, across curbs constructed or replaced on or after July 1, 1976, at all pedestrian crosswalks (23 USC 402(b) (1) (D));

Cash drawdowns will be initiated only when actually needed for disbursement, cash disbursements and balances will be reported in a timely manner as required by NHTSA, and the same standards of timing and amount, including the reporting of cash disbursement and balances, will be imposed upon any secondary recipient organizations (49 CFR 18.20, 18.21, and 18.41). Failure to adhere to these provisions may result in the termination of drawdown privileges);

The State has submitted appropriate documentation for review to the single point of contact designated by the Governor to review Federal programs, as required by Executive Order 12372 (Intergovernmental Review of Federal Programs);

Equipment acquired under this agreement for use in highway safety program areas shall be used and kept in operation for highway safety purposes by the State; or the State, by formal agreement with appropriate officials of a political subdivision or State agency, shall cause such equipment to be used and kept in operation for highway safety purposes (23 CFR 1200.21);

The State will comply with all applicable State procurement procedures and will maintain a financial management system that complies with the minimum requirements of 49 CFR 18.20;

The State highway safety agency will comply with all Federal statutes and implementing regulations relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin (and 49 CFR Part 21); (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps (and 49 CFR Part 27); (d) the Age Discrimination Act of 1975, as amended (42U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970(P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse of alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

The Drug-free Workplace Act of 1988(49 CFR Part 29 Sub-part F):

The State will provide a drug-free workplace by:

- a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

- b) Establishing a drug-free awareness program to inform employees about:
 - 1) The dangers of drug abuse in the workplace.
 - 2) The grantee's policy of maintaining a drug-free workplace.
 - 3) Any available drug counseling, rehabilitation, and employee assistance programs.
 - 4) The penalties that may be imposed upon employees for drug violations occurring in the workplace.
- c) Making it a requirement that each employee engaged in the performance of the grant be given a copy of the statement required by paragraph (a).
- d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - 1) Abide by the terms of the statement.
 - 2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction.
- e) Notifying the agency within ten days after receiving notice under subparagraph (d) (2) from an employee or otherwise receiving actual notice of such conviction.
- f) Taking one of the following actions, within 30 days of receiving notice under subparagraph (d) (2), with respect to any employee who is so convicted -
 - 1) Taking appropriate personnel action against such an employee, up to and including termination.
 - 2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a

Federal, State, or local health, law enforcement, or other appropriate agency.

- g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f) above.

BUY AMERICA ACT

The State will comply with the provisions of the Buy America Act (23 USC 101 Note) which contains the following requirements:

Only steel, iron and manufactured products produced in the United States may be purchased with Federal funds unless the Secretary of Transportation determines that such domestic purchases would be inconsistent with the public interest; that such materials are not reasonably available and of a satisfactory quality; or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. Clear justification for the purchase of non-domestic items must be in the form of a waiver request submitted to and approved by the Secretary of Transportation.

POLITICAL ACTIVITY (HATCH ACT).

The State will comply with the provisions of 5 U.S.C. §§ 1501-1508 and implementing regulations of 5 CFR Part 151, concerning "Political Activity of State or Local Offices, or Employees".

CERTIFICATION REGARDING FEDERAL LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

RESTRICTION ON STATE LOBBYING

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

Instructions for Primary Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The

prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms *covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded*, as used in this clause, have the meaning set out in the Definitions and coverage sections of 49 CFR Part 29. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is

not required to, check the list of Parties Excluded from Federal Procurement and Non-procurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

*Certification Regarding Debarment, Suspension, and Other Responsibility
Matters-Primary Covered Transactions*

(1) The prospective primary participant certifies to the best of its knowledge and belief, that its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of record, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Lower Tier Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms *covered transaction*, *debarred*, *suspended*, *ineligible*, *lower tier covered transaction*, *participant*, *person*, *primary covered transaction*, *principal*, *proposal*, and *voluntarily excluded*, as used in this clause, have the meanings set out in the Definition and Coverage sections of 49 CFR Part 29. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. (See below)
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR Part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-procurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR Part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

ENVIRONMENTAL IMPACT

The Governor's Representative for Highway Safety has reviewed the State's Fiscal Year __2006__ highway safety planning document and hereby declares that no significant environmental impact will result from implementing this Highway Safety Plan. If, under a future revision, this Plan will be modified in such a manner that a project would be instituted that could affect environmental quality to the extent that a review and statement would be necessary, this office is prepared to take the action necessary to comply with the National Environmental Policy Act of 1969 (42 USC 4321 et seq.) and the implementing regulations of the Council on Environmental Quality (40 CFR Parts 1500-1517).

Frank J. Bussanidi

Governor's Representative for Highway Safety

9/29/05

Date

Division of State Patrol Bureau of Transportation Safety Organizational Structure

